How to Submit Your Doctoral Dissertation

【Submission Deadline: May 31 (Fri), 2019, by 17:15.】

1. Doctoral Dissertation

Number of copies required for submission:
[Doctoral Students currently enrolled in the program] One for each member of the Dissertation Evaluation Committee has one.

[Doctoral Candidates who have withdrawn upon completion of coursework]
The number that your supervisor appoints.

(1) The Dissertation must be constituted of original work by the applicant. Other than Japanese, dissertations must, in principle, be prepared in English. However, if you receive permission from your supervisor, you can write the dissertation in another language.

The dissertation must have a single author. However, you can use already published co-authored academic papers as part of the Doctoral Dissertation if the following conditions are satisfied:
① The main researcher and author of the paper must have been the student who is submitting the Dissertation.
② All authors other than the dissertation submitter must not be themselves using the article in question, as means for obtaining their Doctoral degree.

If these criteria are met, then students must submit an agreement signed by all co-authors consenting to the student's use of the article (dōisho 同意書, see appended form, Doctoral Students: 博在－IV－2－(2), Doctoral Candidates who have withdrawn upon completion of coursework: 博退－III－2－(1)).

(2) Duplicates should also be in A4-size, left-bound in case the text is horizontal, and right-bound if vertical.

(3) The cover and spine should followed the respective template provided by the school. Note that if the candidate submits the dissertation in a format different from that required, he or she may be requested to amend the work.

(4) In preparing your dissertation, use a word processing software such as Microsoft Word. We suggest font size 12

(5) The examination process begins immediately after submission. Therefore, please be careful to submit a final version of the work, so there is no need for any sort of corrections.

2. Academic Publications

In case academic publications by the author are used as reference, they can included in the dissertation as appendices. Include the papers in question after the dissertation proper, with a cover page with the words “Academic Publications”. It may include the following kind of papers.

(1) Papers authored by the candidate as single or co-author.
(2) Papers whose topic are connected to the dissertation and function as supplement.
(3) Papers which provide information on the research leading to the dissertation.
(4) Research outcomes published within the research period.
3. Doctoral Dissertation Abstract (10 pages, inclusive of pictures and charts): 60 copies
(1) The abstract should be written in English, using a word processing software. It should be within 10 A4-size pages, including pictures and charts.
(2) The abstract should summarize the contents of the entire dissertation, including the purpose, methods, and results of the research.
(3) The abstract can be divided into sections which can reflect the structure of the dissertation.
(4) On the abstract’s cover, specify the name and position of all each of your supervisors.
(5) Submit an electronic version of the abstract, which will be used for preparing a public version.


5. Student's Curriculum Vitae (in the manner specified in Section 2-(5): 様式2の(5)): 1 copy

6. Dissertation Index (in the manner specified in Section 2-(6): 様式2－(6)): 1 copy

7. Submission of the Doctoral Dissertation (hakushi gakui ronbun no teishutsu ni tsuite 「博士学位論文の提出について」, see appended form, Doctoral Candidates who have withdrawn upon completion of coursework 博退－III－2－(7)): 1 copy
Only doctoral candidates who have withdrawn upon completion of coursework need to submit it.

【Submission Deadline: August 19 (Mon), 2019, by 17:15.】

◇Doctoral Dissertation
Original: 2 CD-RWs (or other media) containing the data of the Dissertation (for online publication and graduate school records)
*Use rewritable electronic media like CD-RWs etc. with a case (in order to change the name of the file after the submission), and write the graduate school name, the student number and your name on the surface of the electronic media.
*Submit a single PDF file including the entire dissertation.

Duplicate: One temporary binding of the Dissertation which is the same as the duplicate submitted by May 31th, 2019 (for the Faculty Senate).

※If there are "extenuating circumstances," and these circumstances are acknowledged as valid, you may be allowed to publish only a summary of the Dissertation (1 summary, 1 original, 2 copies).
Summary of the entire content of the Doctoral Dissertation: 1 CD-RW etc. (for online publication)
*Note that this is different from a regular abstract, as it should summarize the entire dissertation from the process of setting up the topic, the methodology and empirical analysis that lead to the final conclusions.

Original: 1 binding book of the entire dissertation (to be sent to the National Diet Library), and 1 CD-RW etc. (for online publication when there is no longer an impeding reason)

Duplicate: 2 temporary bindings as same as the duplicate submitted by May 31th, 2019 (for the records of the graduate school and respective department)

(1) As to preparing original dissertation, follow the method of making duplicate.
(2) When you submit the book binding as original dissertation because of "extenuating circumstances", use A4-size sheets of vertically long and bind its left sides. Bind it with the cover colored black or dark blue and print by gilt letters on it.
(3) The year put on the original dissertation of "Example" is the one when duplicate and related document should be submitted to on May or on November. Take care not to confuse the proper year to submit the dissertation.
(4) The dissertation abstract is to be published on the internet. If the abstract contains some information not to be able to make publish, submit again the usable data to the Office of Academic Affairs.

【Other Matters to be Noted】
1. Awarding of the Doctoral Degree
The final decision regarding the awarding of the degree will be made by the Faculty Senate. Decisions regarding dissertations submitted in November will be made in March, and those submitted in May will be considered in September. Those whose dissertation received final approval from the Senate in March will be awarded their degrees at March Conferral Ceremony, and those who received final approval in September will be awarded their degrees at the Conferral Ceremony of September

2. The Publication of the Doctoral Dissertation
Those who are awarded the Doctoral Degree must publish the Dissertation within a year since the award. However, this shall not be the case if the candidate has already published his or her work before being awarded the degree.
ATTN: Students Submitting Doctoral Dissertations

The publication of your entire doctoral dissertation on the Internet is mandatory.

Until now, it was required that only printed copies of doctoral dissertations be made publicly available within one year of the students’ receiving their doctoral degrees. However, due to revisions to regulations regarding degrees, the method of publication has been changed. Therefore, if you received your degree on April 1, 2013 or later, you must publish the entire text of your dissertation on the Internet. If there are "extenuating circumstances," and these circumstances are recognized as valid, you may be allowed to publish only a summary of the dissertation.

A digital copy of the full text of your doctoral dissertation will be stored in the Tohoku University Repository (TOUR).

The TOUR system, which is operated by the Tohoku University Library, is used to publish materials on the Internet. A digital copy of your submitted doctoral dissertation will be provided to the National Diet Library via TOUR. If submitting a summary of the dissertation, it will be stored digitally on TOUR.

Know your rights regarding Internet publication.

You are responsible for understanding your own rights regarding Internet publication of your submitted doctoral dissertation, for example copyright policies related to publishing (Internet publication policy) and patent application procedures, etc.

What constitutes "extenuating circumstances?"

Some examples follow:

The dissertation relies on physical objects, which cannot themselves be published on the Internet.

The dissertation contains information which cannot be published on the Internet for over one year due to restrictions related to copyright or personal privacy.

Publication of the full text on the Internet is inadvisable for more than one year due to issues related to publication in a periodical or academic journal that forbids its mass publication.

Publication of the full text on the Internet is inadvisable for more than one year due issues related to pending patent applications.

If any of these apply, obtain permission from your academic supervisor to submit a "Doctoral Dissertation Summary Registration Application." Upon receiving approval from the dean of the Graduate School of International Cultural Studies, you will be allowed to publish just a summary of the full text of your doctoral dissertation.

For students who wish to complete their studies in September, the deadline for "Doctoral Dissertation Summary Registration Application" submission is August 19. For students who wish to complete their studies in March, the deadline is February 19.

Note 1: After publishing a summary of your dissertation due to "extenuating circumstances," you must promptly publish the full text once those circumstances are resolved. Therefore, the "Doctoral Dissertation Summary Registration Application" includes a space to enter the date of full publication.

Note 2: Even if you will register only a summary of your doctoral dissertation on TOUR, you must still submit the full text to the National Diet Library.
Example

Doctoral Dissertation  Duplicate  Cover

1. Fill it out with “Doctoral Dissertation”.
2. Fill in the dissertation title and list Japanese translation in “( )” and write it jointly.
3. Author Name
4. Fill in the year that you submit the dissertation.
Doctoral Dissertation Abstract

Doctoral Dissertation Title: Sub-Title (Japanese translation)

Tohoku University
Graduate School of International Cultural Studies
Division of OOOO
Name

Supervisor: OOOO
Sub-supervisor: OOOO

Academic Publications

Name

Doctoral Dissertation Original CD-RW (or other media)

Graduate School of
International Cultural Studies

Awarding the degree date: September 25, 2019

Student ID Number
Name